

Typed Lambda-calculus

Type theory started as a language for defining mathematical functions
For those who know: parallel with functional programming

In set theory, a function is technically a set of pairs, but in practice most functions are defined using expressions

example:-

$$f: \mathbb{N} \rightarrow \mathbb{N}$$

$$f: n \mapsto n \times n + 3$$

and then $f(3)$ is obtained by substituting n with 3

Type theory modifies this scheme in 2 ways:

- functions do not need to have a name
anonymous functions are noted as $\lambda n. n \times n + 3$

- the "typing" judgment is extended to any type built out of base types ($\mathbb{N}, \mathbb{R}, \dots$), arrows ($A \rightarrow B$) and products ($A \times B$)

examples : $f: \mathbb{N} \rightarrow \mathbb{N}$
 $f \equiv \lambda n. n \times n + 3$

$$n = \mathbb{N}$$
$$n \equiv f(4)$$

$$\text{diag} : \mathbb{N} \rightarrow \mathbb{N} \times \mathbb{N}$$

$$\text{diag} \equiv \lambda n. \langle n, n \rangle$$

$$\text{comp} : (\mathbb{N} \rightarrow \mathbb{N}) \times (\mathbb{N} \rightarrow \mathbb{N}) \rightarrow (\mathbb{N} \rightarrow \mathbb{N})$$

$$\text{comp} \equiv \underbrace{\lambda g}_{1} . \left(\underbrace{\lambda x}_{2} . \underbrace{\pi_1(g)}_{3} \left(\underbrace{\pi_2(g)}_{4} \left(\underbrace{x}_{5} \right) \right) \right)$$

- 1 - take $g = (N \rightarrow N) \times (N \rightarrow N)$. The composition is defined as follows:
- 2 - take $x : N$
- 3,4 - compute the components $\pi_1(g)$ and $\pi_2(g)$
- 5 - apply them to x in order

This λ -expression is a bit messy! To make it somewhat more digestible, type theorists write parentheses only when necessary: $\lambda g. \lambda x. \pi_1 g (\pi_2 g x)$

We can also expand binders on cartesian products into components
 $\rightarrow \lambda \langle g_1, g_2 \rangle. \lambda x. g_1(g_2 x)$

Lastly, we may give type annotations in binders when useful

$$\rightarrow \lambda \langle g_1, g_2 \rangle. \lambda (x : \mathbb{N}). g_1 (g_2 x)$$

Simple type theory

A type theory is a set of rules to produce such definitions. These rules should let us write any reasonable definition, and forbid nonsensical stuff such as

wrong: $(\mathbb{N} \rightarrow \mathbb{N}) \rightarrow \mathbb{N}$

wrong $\equiv \lambda f. \underline{ff}$

wrong = $(\mathbb{N} \rightarrow \mathbb{N}) \rightarrow \mathbb{N}$
 wrong $\equiv \lambda f. \underline{f f}$ ← type mismatch = f expects an argument of type $\mathbb{N}$, but we are giving $f = \mathbb{N} \rightarrow \mathbb{N}$

In fact, there is no way to give a meaningful type to self application, which is why type theory was initially developed by Russell to avoid the liar paradox!

Contexts

Since mathematics often work with assumptions ("let x be an integer...") we generalise typing judgments to include a context of assumptions

For instance : $\underbrace{f = \mathbb{N} \rightarrow \mathbb{N}}_{\text{assumption 1}}, \underbrace{x = \mathbb{N}}_{\text{assumption 2}} \vdash \underbrace{x + 2 \times f(5) = \mathbb{N}}_{\text{judgment}} \} \text{sequent}$

"Let f be a function of type $N \rightarrow N$. Let x be an integer. Then the term $x + 2 \times f(5)$ has type N "

Inference rules

The rules of a type theory define which sequents hold, and which sequents do not hold. They have the following general shape:

$$\frac{\Gamma \vdash t:A \rightarrow B \quad \Gamma \vdash u:A}{\Gamma \vdash tu:B} \quad \left. \begin{array}{l} \text{premises} \\ \text{conclusion} \end{array} \right\} \text{inference rule}$$

"If t has type $A \rightarrow B$ in context Γ and u has type A in context Γ , then $t u$ has type B in context Γ "

Here are all the inference rules of simple type theory

structural rules

$$\frac{x:A \in \Gamma}{\Gamma \vdash x:A}$$

rules for functions

$$\frac{\Gamma, x:A \vdash t:B}{\Gamma \vdash \lambda x. t:A \rightarrow B}$$

$$\frac{\Gamma \vdash t:A \rightarrow B \quad \Gamma \vdash u:A}{\Gamma \vdash t u = B}$$

rules for products

$$\frac{\Gamma \vdash t:A \quad \Gamma \vdash u:B}{\Gamma \vdash \langle t, u \rangle : A \times B}$$

$$\frac{\Gamma \vdash t:A \times B}{\Gamma \vdash \pi_1(t) = A}$$

$$\frac{\Gamma \vdash t:A \times B}{\Gamma \vdash \pi_2(t) = B}$$

Rules for the base types

$$\frac{}{\Gamma \vdash 0 : \mathbb{N}}$$

$$\frac{\Gamma \vdash t:\mathbb{N}}{\Gamma \vdash \text{succ}(t) = \mathbb{N}}$$

$$\frac{\Gamma \vdash t:\mathbb{N} \quad \Gamma \vdash u:\mathbb{N}}{\Gamma \vdash t+u = \mathbb{N}}$$

We can use these rules to derive judgments by chaining them
It is often convenient to start from the conclusion & work backwards

derivation tree

$$\frac{\frac{\frac{n:\mathbb{N} \vdash n:\mathbb{N}}{n:\mathbb{N} \vdash n+n:\mathbb{N}} \quad \frac{n:\mathbb{N} \vdash n:\mathbb{N}}{n:\mathbb{N} \vdash 1:\mathbb{N}}}{n:\mathbb{N} \vdash 2:\mathbb{N}} \quad \frac{n:\mathbb{N} \vdash 1:\mathbb{N}}{n:\mathbb{N} \vdash 1 \equiv \text{succ}(0)} \quad \frac{n:\mathbb{N} \vdash 2:\mathbb{N}}{n:\mathbb{N} \vdash 2 \equiv \text{succ}(\text{succ}(0))}}{n:\mathbb{N} \vdash (n+n)+2:\mathbb{N}} \quad \frac{}{\vdash \lambda n. (n+n)+2 = \mathbb{N} \rightarrow \mathbb{N}}$$

Since all the branches are closed, the conclusion is a valid sequent
Well-typed terms are inductively generated by the inference rules — they are an “initial algebra” for a “generalised algebraic theory”

Second example =

$$\frac{\frac{\frac{g:[-], x:A \vdash g:(B \rightarrow C) \times (A \rightarrow B)}{g:[-], x:A \vdash \pi_1 g = B \rightarrow C} \quad \frac{\frac{g:[-], x:A \vdash g:(B \rightarrow C) \times (A \rightarrow B)}{g:[-], x:A \vdash \pi_2 g = A \rightarrow B} \quad \frac{g:[-], x:A \vdash x:A}{g:[-], x:A \vdash \pi_2 g x = B}}{g:(B \rightarrow C) \times (A \rightarrow C), x:A \vdash \pi_1 g (\pi_2 g x) = C} \quad \frac{}{\vdash \lambda g. \lambda x. \pi_1 g (\pi_2 g x) = (B \rightarrow C) \times (A \rightarrow B) \rightarrow (A \rightarrow C)}$$

Of course, no one wants to do this by hand: it's the proof assistant's job!

Equations

When we define a function as an expression such as $f: n \mapsto n \times n + 3$, we understand that $f(5)$ is defined as the expression $5 \times 5 + 3$ (where we replaced every occurrence of n with 5)

We want the same thing to work with anonymous functions:

$$(\lambda n. n \times n + 3) \text{ something} \equiv \text{something} \times \text{something} + 3$$

The general rule may be written as $(\lambda x. t) u \equiv t[u/x]$, where $t[u/x]$ means "replace every occurrence of x with u "

NB: when computing $t[u/x]$, we may want to rename some bound variables to avoid name clashes. Consider for instance

$$(\lambda x. \lambda y. x y) (\lambda y. y) \begin{cases} \xrightarrow{\text{naive}} \lambda y. (\lambda y. y) y \\ \xrightarrow{\text{better}} \lambda y. (\lambda z. z) y \end{cases}$$

Since we also have products in our system, we want to add a handful of computation rules for them, most notably

$$\pi_1 \langle t, u \rangle \equiv t \quad \text{and} \quad \pi_2 \langle t, u \rangle \equiv u$$

These rules are respectively called the β -rule for functions and the β -rules for products

η -rules

For completeness, we want to add that

- breaking down a pair and putting it back together does nothing

$$\langle \pi_1 t, \pi_2 t \rangle \equiv t$$

- abstracting and applying immediately after does nothing

$$\lambda x. (t x) \equiv t$$

These are called η -rules

NB: we might also want to add equations for our base types, such as $0 + t \equiv t$ $\text{succ}(t) + u \equiv \text{succ}(t + u)$

Curriculum NB: maybe for exercise sessions

Writing functions of several arguments using pairs is not super convenient... Recall our definition of composition =

$$\text{comp} = (\mathbb{N} \rightarrow \mathbb{N}) \times (\mathbb{N} \rightarrow \mathbb{N}) \rightarrow (\mathbb{N} \rightarrow \mathbb{N})$$
$$\text{comp} \equiv \lambda g. (\lambda x. \pi_1(g)(\pi_2(g)(x)))$$

Type theorists like replacing these with **higher order functions**, that is, functions which output another function.

For instance, consider an arbitrary function $f = A \times B \rightarrow C$
we can transform it into a function of type $A \rightarrow (B \rightarrow C)$
by using a partial application: $f' \equiv \lambda a. \lambda b. f(a, b)$
(informally, $f'(a) \equiv f(a, -)$)
 f' is the **curried form** of f

Conversely, consider a function $g = A \rightarrow (B \rightarrow C)$
we can transform it into a function of type $A \times B \rightarrow C$
by defining $g' x \equiv (g(\pi_1 x))(\pi_2 x)$
 g' is the **uncurried form** of g

Thus we have two transformations

$$A \times B \rightarrow C \xrightleftharpoons[\text{uncurry}]{\text{curry}} A \rightarrow (B \rightarrow C)$$

also written as $A \rightarrow B \rightarrow C$
arrows are right-associative

One readily checks that they are inverse to each other

NB: this is an instance of a very general phenomenon.
see = cartesian closed categories, Hom-tensor adjunction

The curried form of comp is more legible =

$$\text{comp}' = (\mathbb{N} \rightarrow \mathbb{N}) \rightarrow (\mathbb{N} \rightarrow \mathbb{N}) \rightarrow (\mathbb{N} \rightarrow \mathbb{N})$$
$$\text{comp}' = \lambda f. \lambda g. \lambda x. f(g x)$$

For this reason and many other, type theorists always privilege curried functions

The Curry-Howard correspondence

Take the rules of the STLC

[Remember to do a side-to-side table]

structural rules

$$\frac{x:A \in \Gamma}{\Gamma \vdash x:A}$$

rules for functions

$$\frac{\Gamma, x:A \vdash t:B}{\Gamma \vdash \lambda x. t:A \rightarrow B}$$

$$\frac{\Gamma \vdash t:A \rightarrow B \quad \Gamma \vdash u:A}{\Gamma \vdash t u:B}$$

rules for products

$$\frac{\Gamma \vdash t:A \quad \Gamma \vdash u:B}{\Gamma \vdash \langle t, u \rangle:A \times B}$$

$$\frac{\Gamma \vdash t:A \times B}{\Gamma \vdash \pi_1(t):A}$$

$$\frac{\Gamma \vdash t:A \times B}{\Gamma \vdash \pi_2(t):B}$$

And modify them slightly =

- erase the terms
- replace $\times$ with $\wedge$ and replace $\rightarrow$ with $\Rightarrow$

$$\frac{A \in \Gamma}{\Gamma \vdash A}$$

$$\frac{\Gamma, A \vdash B}{\Gamma \vdash A \Rightarrow B}$$

$$\frac{\Gamma \vdash A \Rightarrow B \quad \Gamma \vdash A}{\Gamma \vdash B}$$

modus ponens

$$\frac{\Gamma \vdash A \quad \Gamma \vdash B}{\Gamma \vdash A \wedge B}$$

$$\frac{\Gamma \vdash A \wedge B}{\Gamma \vdash A}$$

$$\frac{\Gamma \vdash A \wedge B}{\Gamma \vdash B}$$

We obtain a set of rules which look a lot like the rules of **natural deduction**, a system for writing formal proofs from the 3Ds!

This is called the **Curry-Howard correspondence**: STLC may be understood either as a system for defining functions, or as a system for writing proofs in natural deduction

Recall for instance our composition function

$$\text{comp} = (B \rightarrow C) \times (A \rightarrow B) \rightarrow (A \rightarrow C)$$

$$\text{comp} \equiv \lambda g. (\lambda x. \pi_1(g)(\pi_2(g)(x)))$$

In the "logical" notation, it becomes $(B \Rightarrow C) \wedge (A \Rightarrow B) \Rightarrow (A \Rightarrow C)$ i.e. a proof that implication is transitive.

More generally, every function in the STLC proves a theorem in natural deduction.

Extending the STLC

Note that we are missing some logical connectives - what about disjunction and negation? The rules of disjunction in natural deduction are the following:

$$\frac{\Gamma \vdash A}{\Gamma \vdash A \vee B}$$

$$\frac{\Gamma \vdash B}{\Gamma \vdash A \vee B}$$

$$\frac{\Gamma \vdash A \vee B \quad \Gamma, A \vdash C \quad \Gamma, B \vdash C}{\Gamma \vdash C}$$

This suggests adding a new connective to the STLC for **disjoint sums** $A + B$

$$\frac{\Gamma \vdash t = A}{\Gamma \vdash \text{inl}(t) = A + B}$$

$$\frac{\Gamma \vdash t = B}{\Gamma \vdash \text{inr}(t) = A + B}$$

$$\frac{\Gamma \vdash t = A + B \quad \Gamma, x = A \vdash u = C \quad \Gamma, x = B \vdash v = C}{\Gamma \vdash \text{match } t \text{ with } \begin{array}{l} \text{inl}(x) \rightarrow u \\ \text{inr}(x) \rightarrow v \end{array} = C}$$

match t with

$$\Gamma \vdash \begin{array}{l} \text{inl}(x) \rightarrow u \\ \text{inr}(x) \rightarrow v \end{array} = C$$

See also:

- coproducts in category theory
- algebraic datatypes in programming

Now what about negation? Logicians like to encode negation as $\neg A \equiv A \Rightarrow \perp$, where $\perp$ is the false proposition. The false proposition has only one rule

$$\frac{\Gamma \vdash \perp}{\Gamma \vdash A}$$

if we can prove a contradiction, then we can prove any other proposition!

In the STLC, we add a new **empty type** $\perp$ with the following rule:

$$\frac{\Gamma \vdash t = \perp}{\Gamma \vdash \text{raise}(t) = A}$$

There is no way to build a term of type $\perp$, however if we somehow have one, we can use it to build anything

Compare to:

- exceptions in programming
- initial object in category theory

With this, we have a complete correspondence natural deduction $\Leftrightarrow \text{STLC}^+$